

## Iowa Department of Corrections FY2014 Annual Report

Offender Success is our goal.  
Reentry is our process.  
Evidence Based Practices are our tools.  
Staff is our most important asset.

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# Message from Director John Baldwin

Dear Fellow Iowans,

The Iowa Division of Criminal and Juvenile Justice Planning's (CJJP) annual update of its ten-year state prison population forecast predicts an increase of 3,100 inmates over the next ten years, from about 8,200 to 11,300 offenders. Driving the increase are lengthy sentences for sex offenders and violent offenders serving mandatory minimum prison terms. As a result, Iowa Corrections finds itself housing 50 percent more older inmates (50 years of age or older), who cost twice as much to care for as do younger inmates. Also, the disproportionate incarceration of blacks in state prisons looks to continue, due to mandatory minimum sentences for drug and violent crimes. There is, however, a silver lining to the dark forecast: In 2011, CJJP predicted a prison population of 9,743 inmates by 2014 and we are well below that today.

Meanwhile, one of the key statistics that Iowa Corrections maintains to measure the success of our efforts is the three-year return-to-prison rate for offenders leaving prison and reentering the community. The rate for the three-year period from FY 2011 through FY 2014 (29.7%) continues a downward trend in offender recidivism, and is the lowest since this measure has been calculated. And for the second year in a row, there is no statistically significant difference in recidivism rates between African-Americans and Whites.

The prison population forecast and recidivism rate reduction is a reflection of the good work corrections' staff are doing – by utilizing evidence-based practices and proven offender reentry strategies – to keep probationers from

going to prison, and preparing inmates to succeed in the community.

One challenge that has prevented Corrections from lowering recidivism even more has been the inability of Corrections and local mental health treatment providers to electronically share with one another offender/patient treatment information. That is changing. DOC has been awarded a grant from the National Governor's Association to implement an offender/patient information sharing pilot project involving Corrections and a local mental health treatment provider.

This is an important opportunity in that Iowa Corrections and mental health treatment providers have many of the same clients, yet the level of client information sharing is hit-and-miss. The result is costly duplication of services and also higher relapse and recidivism rates as red flags are not shared that would allow for offender/patient intervention before a new crime is committed.

Under this grant, we hosted a meeting in August, 2014 that included representatives of the U.S. Department of Justice, the National Governor's Association, the Iowa Departments of Human Services and Public Health, Eyerly Ball Mental Health and Substance Abuse Treatment Services, and the Governor's Office to plan an electronic offender/client information exchange between Corrections and Eyerly Ball. The long-term goal is to expand this pilot project to include most of the state's mental health treatment providers. By doing so, we hope to im-



prove outcomes for this sizeable group of offenders.

The Legislature appropriated and Governor Branstad approved \$378,695,471 for Iowa Corrections for FY 2015. That's an increase of \$4,107,547 over FY 2014 appropriations. Some appropriations highlights:

- Four additional corrections officers at the Iowa Institution for Women to help staff the new reception center for women.
- \$1.4 million to replace expired federal grant funding for drug courts in the First and Sixth Judicial District Departments of Correctional Services, and new drug courts in the Second, Fifth and Seventh District Departments of Correctional Services.
- Fourteen additional probation/parole officers specialized in supervising sex offenders.
- Seven additional staff at Anamosa State Penitentiary (ASP) to supervise PREA Youthful Offenders. These offenders are under 18 years of age, who have been sentenced by the Court to adult correctional institutions, and are housed at ASP.
- Nineteen additional correctional officers to be placed at different institutions around the state where most needed.
- Two additional PREA (Prison Rape Elimination Act) investigators.

Speaking of PREA, our PREA training has paid off as well. Specifically, all eight community-based corrections agencies passed a PREA audit conducted by the U.S. Department of Justice.

Three institutions were also audited, and passed all aspects except for one disputed issue. The institutions are undergoing a six-month "corrective action period" during which a resolution to the disputed issue is being negotiated.

While challenges remain, there were a number of accomplishments during 2014 that will carry over to future years. For that, I thank corrections' staff, whose dedicated service is resulting in safer communities and changed lives.

Respectfully,

John R. Baldwin



Photo Credit Des Moines Register

# Message from the Board of Corrections

To the Citizens of Iowa,

The best way that Corrections can help improve public safety is by helping offenders to rebuild their lives – and their hope. In that, the Iowa Board of Corrections, the governing body over Corrections, is pleased to report that steady progress continues on that front.

In so far as 52% of prison inmates and 27% of community-supervised offenders are diagnosed with a mental illness, Corrections has devoted more of its limited resources

to treating them. That includes but is not limited to a tele-psych program in which staff psychiatrists and community-supervised offenders (many of the latter whom reside in difficult-to-access rural areas) hold face-to-face sessions via the Iowa Communications Network (ICN). Corrections and a local mental health treatment provider are piloting electronic information sharing of mutual clients' treatment data to better serve offenders with mental illnesses.

Most offenders under Corrections' supervision have substance abuse issues. The Legislature appropriated \$1.4 million to replace expired federal grant funding for two drug courts and to start up three new drug courts.

One of the barriers holding back offenders is poor cognitive skills that have become embedded over a period of years. Both the prisons and community corrections agencies offer programming to help offenders think through their options and resulting consequences before taking action. The reward for offenders is more success in achieving pro-social goals.

Through a collaboration with Iowa Prison Industries and Iowa Workforce Development, Corrections provides job opportunities and vocational training for inmates. And through a collaboration with the state's community colleges, Corrections provides GED and basic literacy instruction.

There's more, and it all leads up to one of the lowest return-to-prison rates in the United States. And for the second year in a row, there is no statistically significant difference in recidivism rates between African-Americans and Whites.

If Iowa wants to have safe communities in which to live, we can't just focus on enforcement and supervision. Approximately 95% of prison inmates will be reentering society, and it is imperative that we prepare them for their return.

Respectfully,

Rev. Michael Coleman  
Chair

Richard LaMere  
Vice-Chair



## Board of Corrections

From left to right: Nancy Turner, Rebecca Williams, Richard LaMere, Rev. Michael Coleman, Lawrence Kudej, Dr. Mary Chapman, Dr. John Charlstrom

# Agency Overview

## Strategic Goals

- Incarcerate only those who need it.
- Staff in correlation to offenders' risk levels.
- Expand effective community supervision.

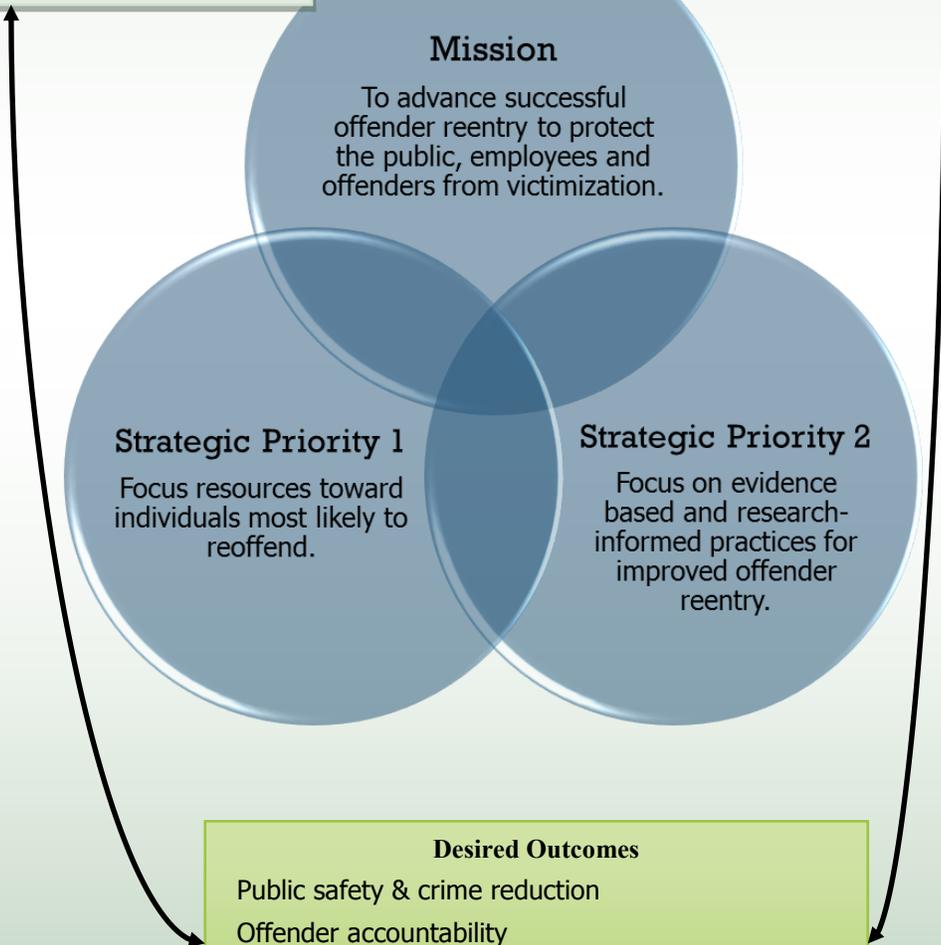
## Strategic Goals

- Invest in program models that reduce recidivism.
- Expand continuous quality improvement processes.
- Seek housing options for geriatric and seriously mentally ill offenders.
- Expand collaboration with other agencies/organizations.



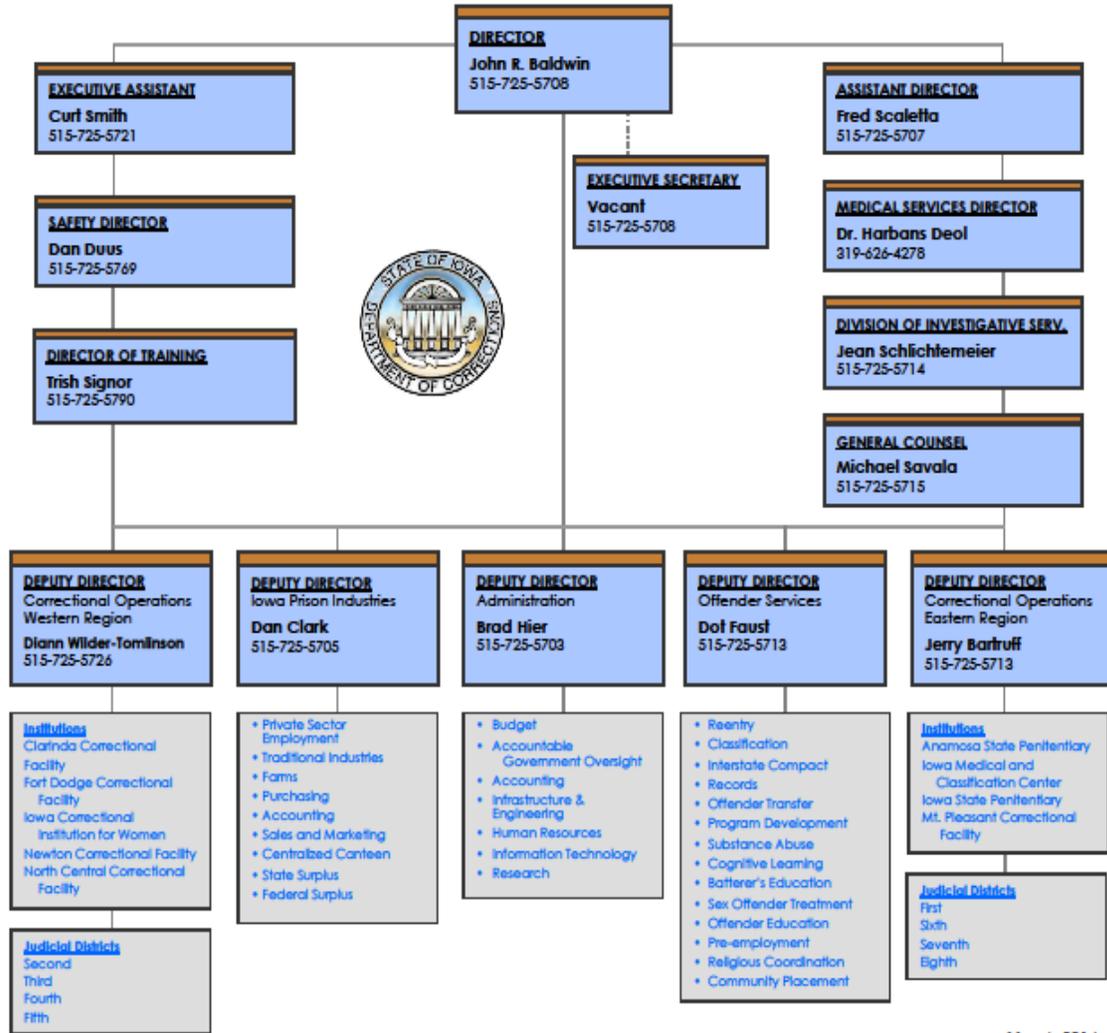
## Desired Outcomes

Public safety & crime reduction  
Offender accountability  
Responsible use of taxpayer dollars  
Quality services



# Organizational Chart

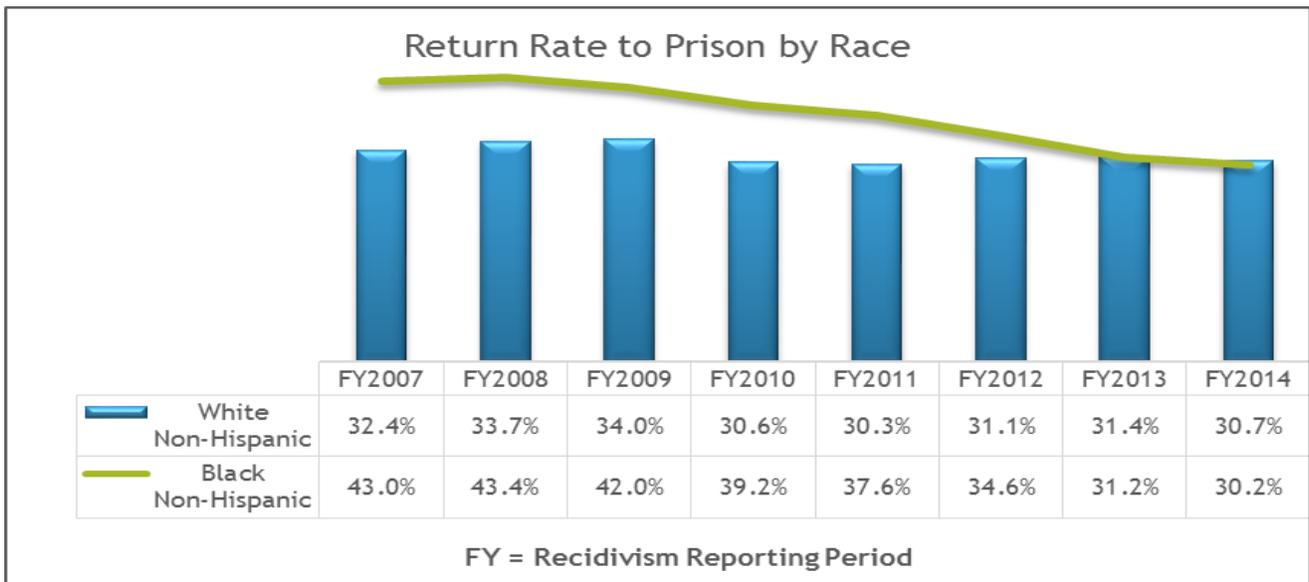
## Iowa Department of Corrections – Central Office



March 2014

# Agency Accomplishments

**Reducing Recidivism.** The return rate to prison continued its five-year decline, dropping below 30% to 29.7%. Also, for the second year in a row, there is no statistically significant difference in recidivism rates between non-Hispanic Whites and African-American offenders. African-American offender reentry efforts in Des Moines and Waterloo helped make these declines in recidivism possible.

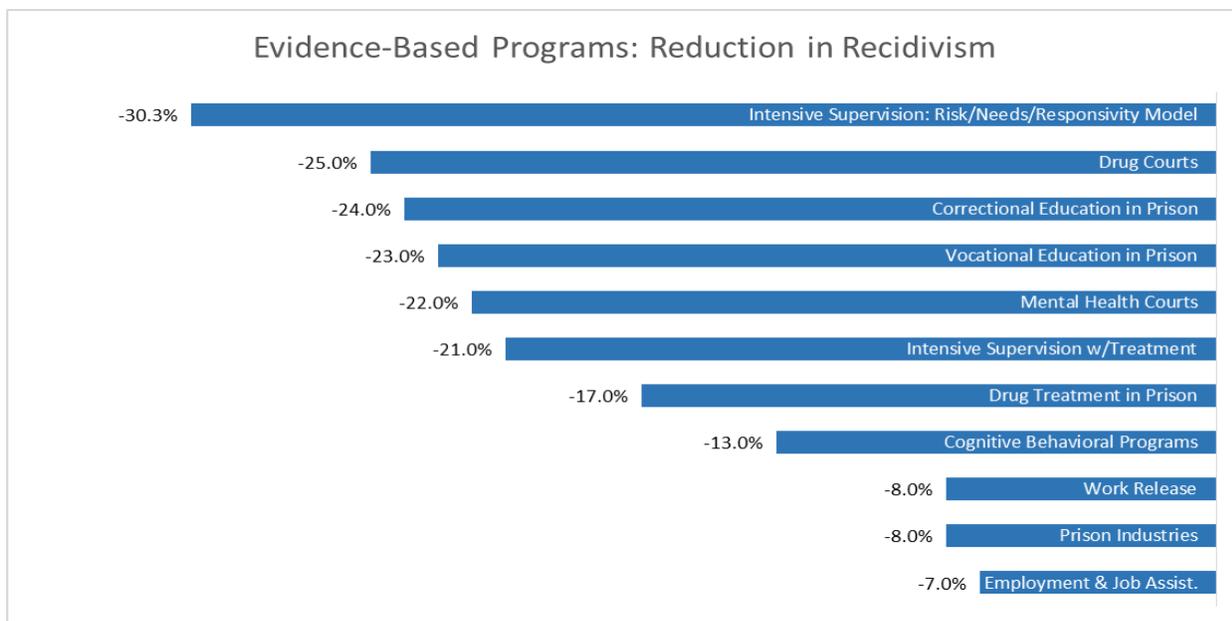


# Agency Accomplishments

**Focusing on Programs That Work.** The Iowa Results First model demonstrates how much recidivism rates may decline for well-run programs in institutions and community-based corrections.

State appropriations to replace expired federal grant funding for two drug courts and to start up three new drug courts in FY2014 enabled 112 offenders to successfully complete drug court this year.

Continuation of expanded funding for prison education and vocational education programs in FY2014 enabled 299 offenders to complete the literacy program; 514 offenders to receive high school equivalency certificates; and 1,023 offenders to receive vocational certificates this year.



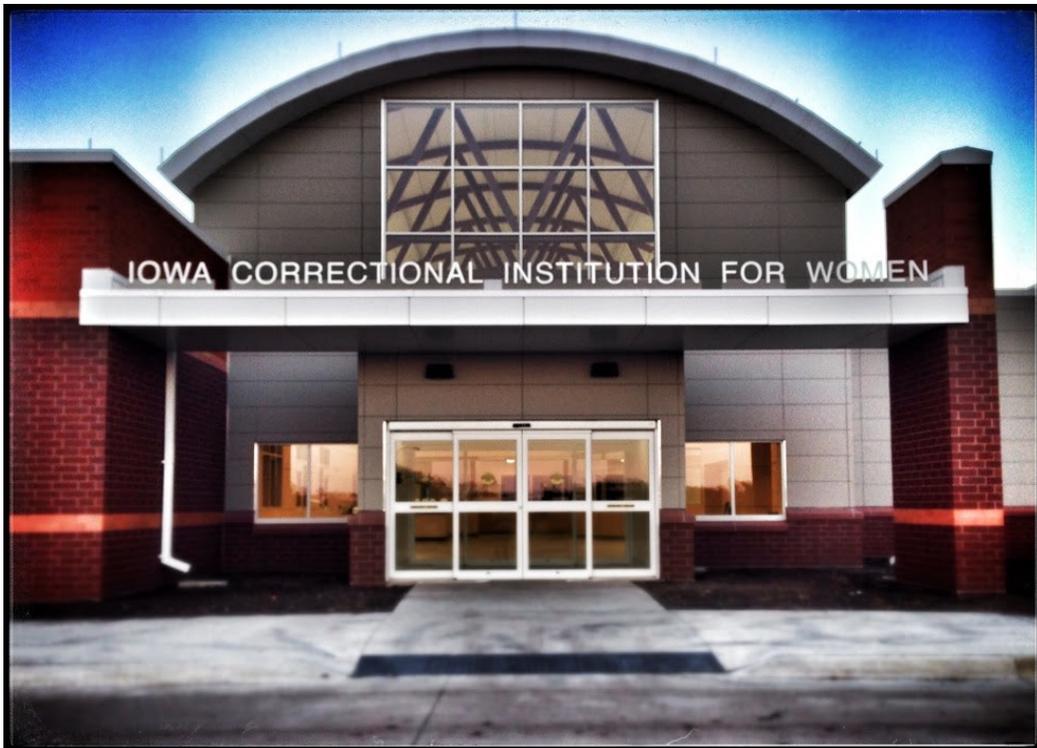
**Making Progress in Mental Health Reentry.** In 2014 the DOC partnered with the Iowa Prescription Drug Corporation to report on the Central Pharmacy Program, which provides offenders released from an Iowa prison may access to up to 90 days of behavioral health medications at no cost to the offender. The study found that although more research is needed, the preliminary data provides an early indication that the Central Pharmacy Program is of great assistance to participants' successful reentry. Specifically:

- A substantial number of participating offenders were medication compliant;
- The mental health adjustment among participating offenders is mainly positive; and
- Positive mental health adjustment among participating offenders is strongly associated with success under supervision.

# Agency Accomplishments

The tele-psych program in which staff psychiatrists and community-supervised offenders hold face-to-face sessions via the Iowa Communications Network also appears off to a good start. First quarter satisfaction surveys from offender respondents indicate a high level of agreement that “Tele-psych provides for my mental health needs” and “Overall, I’m satisfied with the quality of service I receive from tele-psych.”

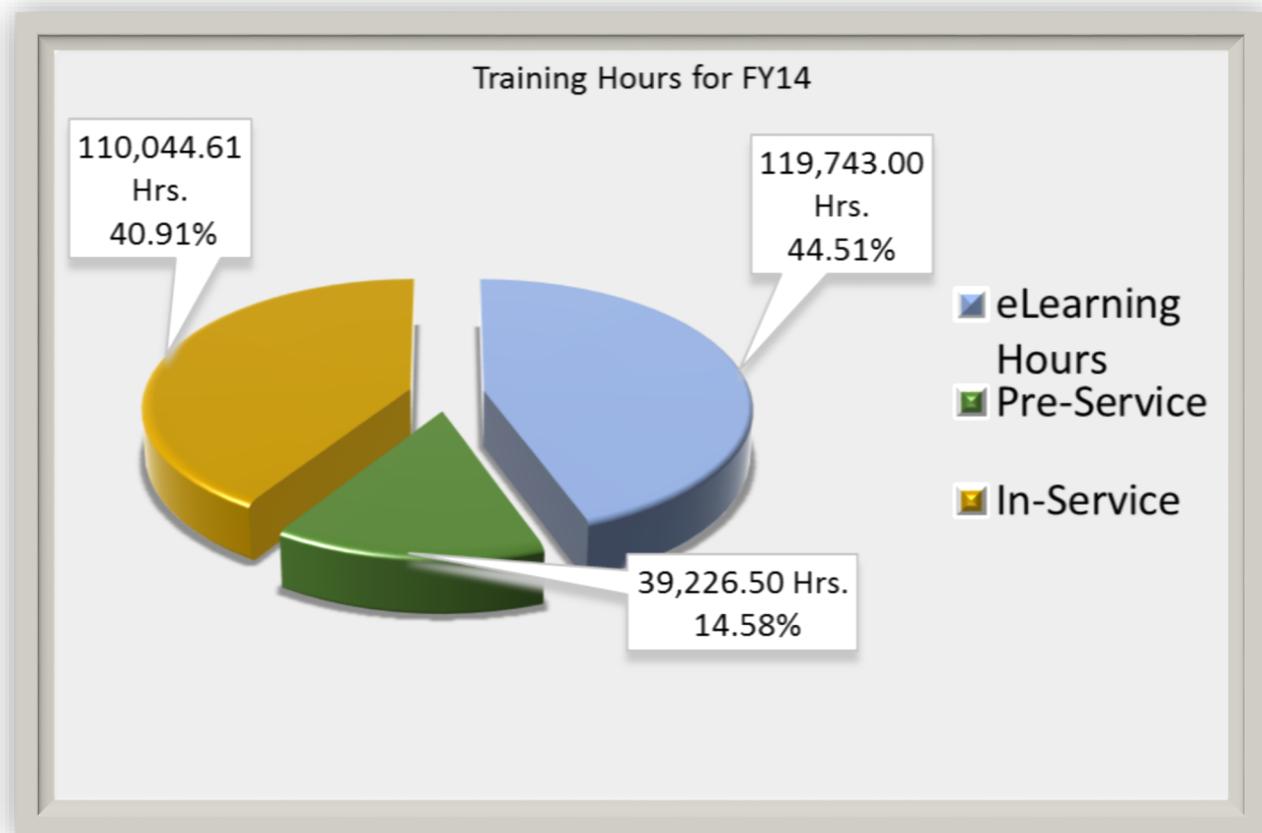
**Opening a State of the Art Prison for Women.** On October 28, 2013 the Iowa Correctional Institution for Women opened its doors to the governor and lieutenant governor, state legislators, other officials, staff and the community for a ribbon cutting ceremony and tour of the new facility. By the end of January 2014 both staff and offenders successfully transitioned into the new buildings without incident. The new facility includes a reception center, mental health and assisted living units and more. Special highlights include the Susan Hunter Memorial Garden and the peaceful Staff Decompression area, both designed and completed by Iowa State University horticulture students.



# Agency Accomplishments

**Implementing the Prison Rape Elimination Act (PREA).** The Department's PREA Coordinator led the statewide effort to implement the PREA Standards and assisted three institutions with their first PREA audits this year. The DOC in its jail inspection role has also been an active participant and a resource for the 96 county jails and 12 temporary holding facilities in Iowa that are implementing PREA also. Most of these facilities are now ready for their first audits, or will be shortly.

**Providing Staff Training.** According to the DOC Road Map, staff is our most important asset. In FY2014 the DOC Learning Center provided over a quarter million hours of training via eLearning and classroom/hands on training to new employees as well as incumbent staff. This averages to 68.5 hours of training per employee in FY2014.



# Community Based Corrections



**First District**

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**Fifth District**

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**Second District**

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**Sixth District**

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**Third District**

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**Seventh District**

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**Fourth District**

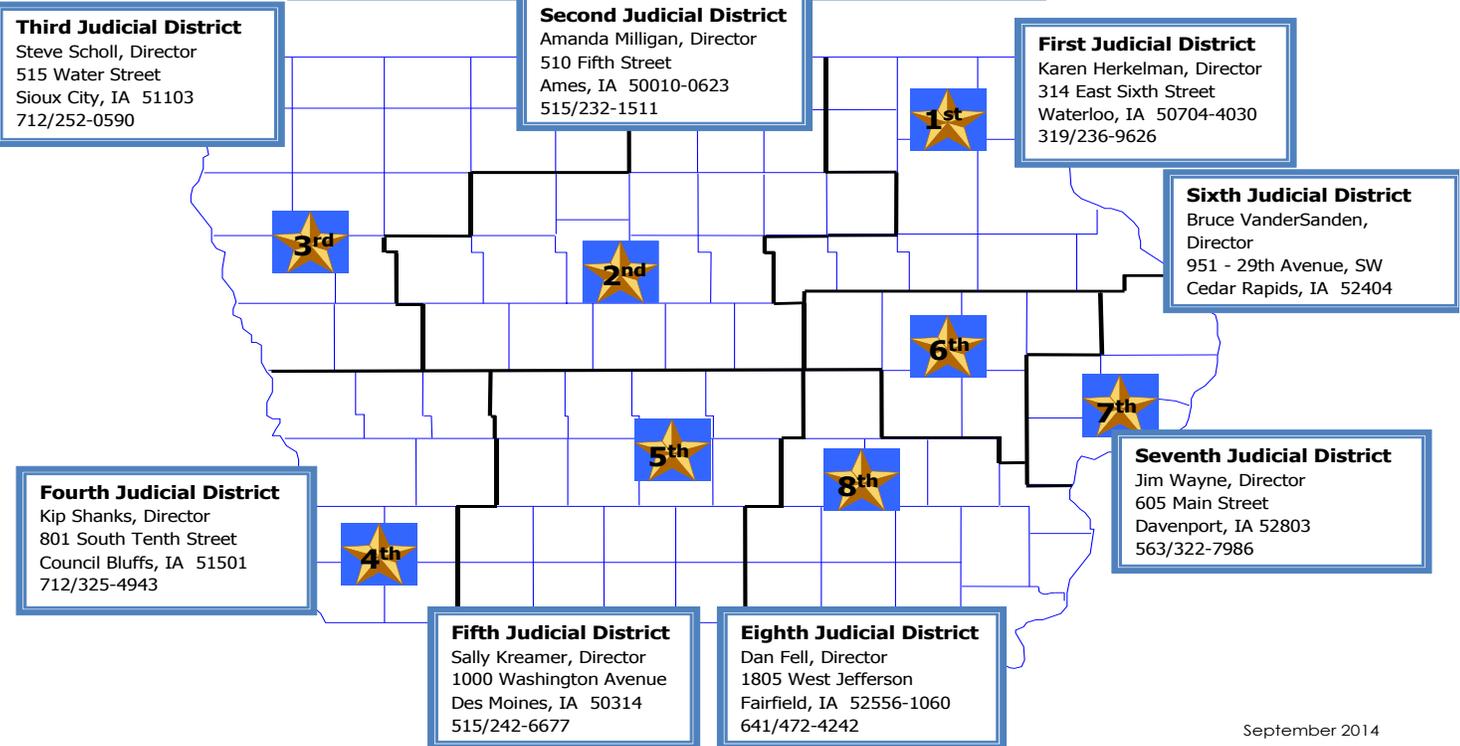
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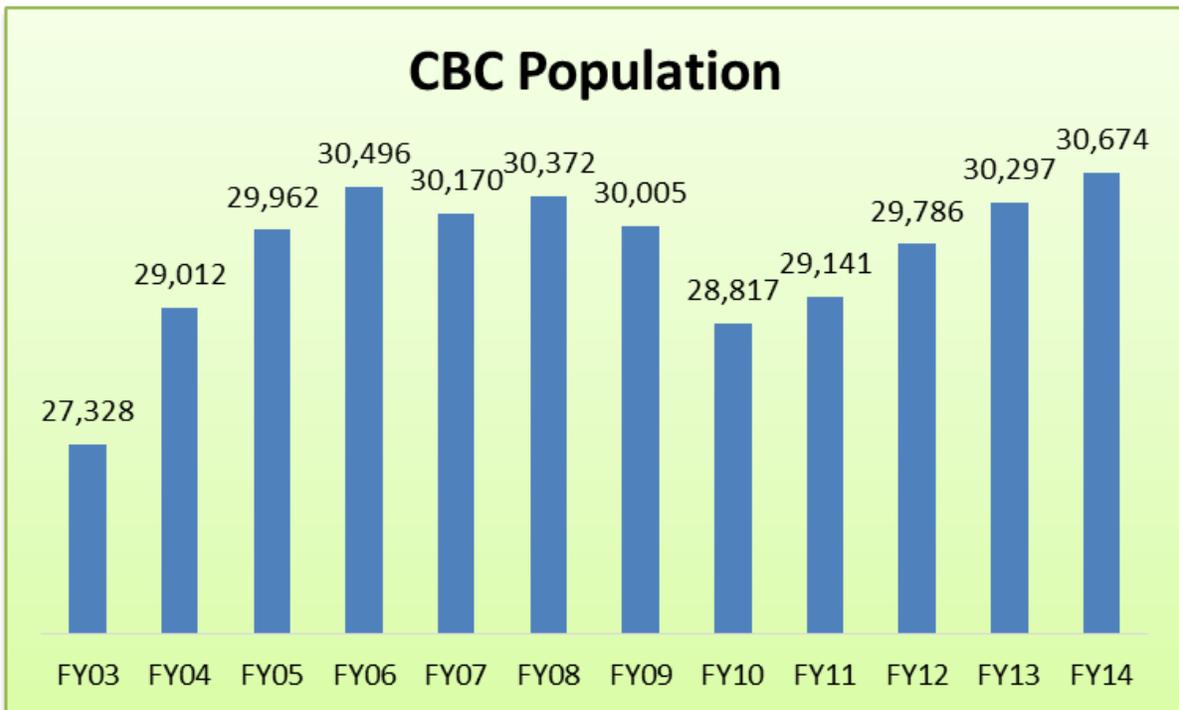
**Eight District**

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Iowa Department of Correctional Services  
**COMMUNITY-BASED CORRECTIONS**

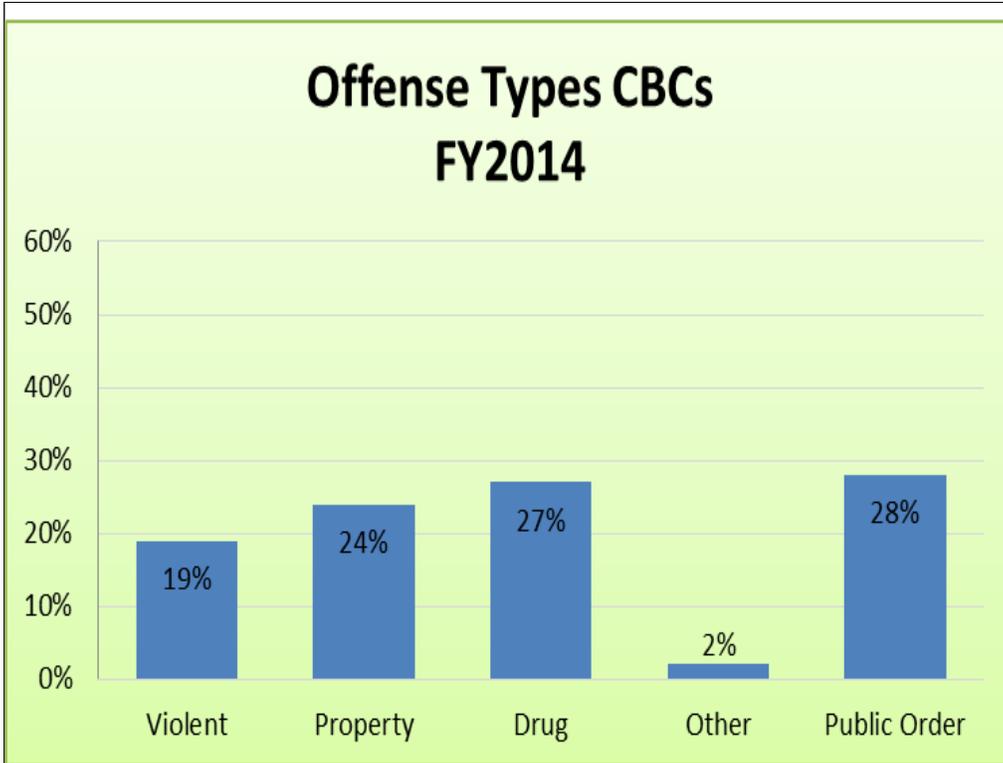


September 2014



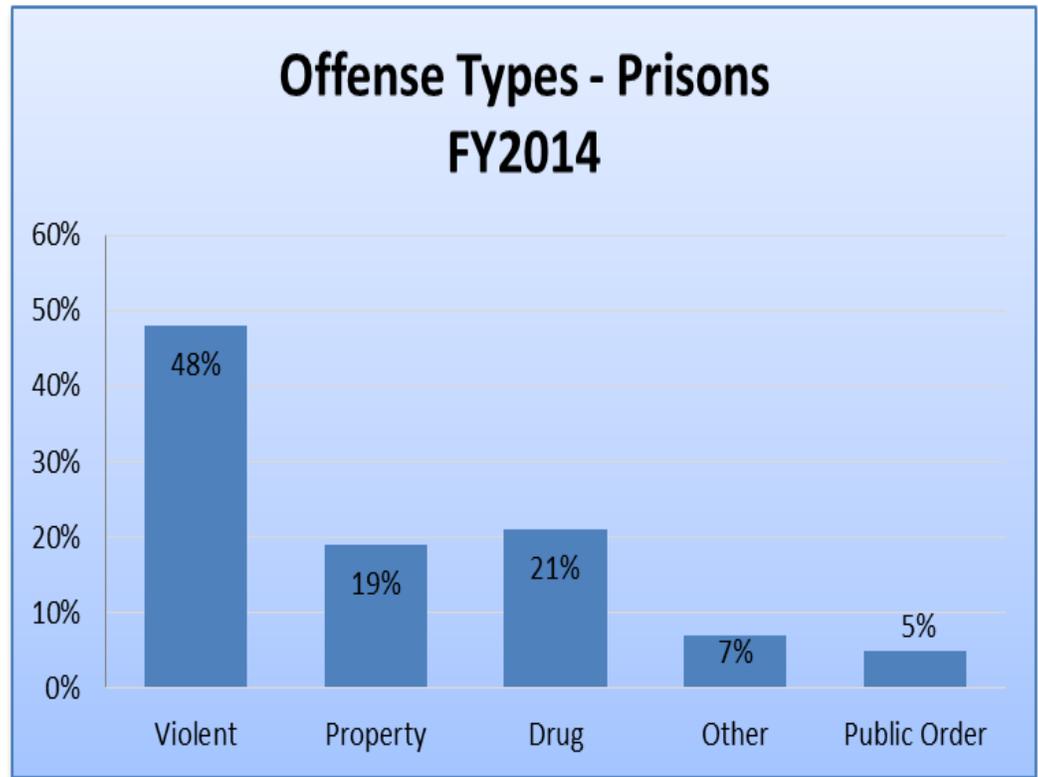
The CBC population in FY2014 was the highest of the previous 12 years.

# Offense Types



**The majority of offenders supervised in Community Based Corrections fall under the Drug and Public Order (drunk driving) categories.**

**Nearly half of offenders in Iowa Prisons are incarcerated for Violent Crimes.**



# CBC Offenders Served

CBC Field Services	Active at Start 7-01-13	New Admits FY14	Closures FY14	Active at End 6-30-14	Offenders Served
CCUSO Transitional Release	1	2	1	2	3
Interstate Compact Parole	349	188	213	324	537
Interstate Compact Probation	1100	537	618	1011	1637
No Correctional Supervision Status	6	39	40	6	45
OWI Continuum	7	30	4	2	37
Parole	3461	2934	2434	3658	6395
Pretrial Release With Supervision	1552	4490	4338	1661	6042
Probation	21601	15794	14905	21677	37395
Special Sentence	549	163	150	630	712
Statewide Total	28,626	24,177	22,703	28,971	52,803

CBC Residential Services	Active at Start 7/1/13	New Admits FY14	Closures FY14	Active at End 6/30/14	Offenders Served*
Federal	192	538	526	188	730
Interstate Compact Parole	2	6	3	0	8
Interstate Compact Probation	2	14	2	1	16
Jail (Designated Site)	8	45	34	5	53
OWI Continuum	121	312	362	113	433
Parole	10	54	33	8	64
Pretrial Release With Supervision	2	49	20	7	51
Probation	811	2073	1931	826	2884
Special Sentence	56	164	124	70	220
Work Release	433	1782	1644	510	2215
Statewide Total	1637	5037	4679	1728	6674

\* Includes VC and VT

Offenders served Field and Residential—FY2014 = 59,477

# Iowa Prisons



**Anamosa State Penitentiary**



**Clarinda Correctional Facility**



**FORT DODGE CORRECTIONAL FACILITY**



**Iowa Correctional Institution for Women**



**Iowa Medical and Classification Center**



**Iowa State Penitentiary**



**Mt Pleasant Correctional Facility**

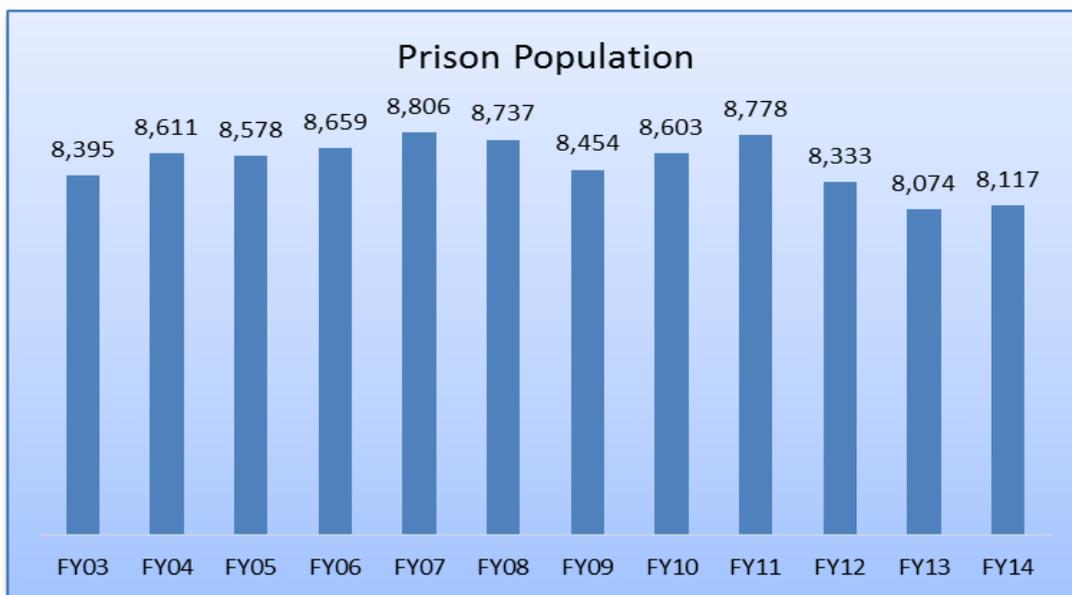
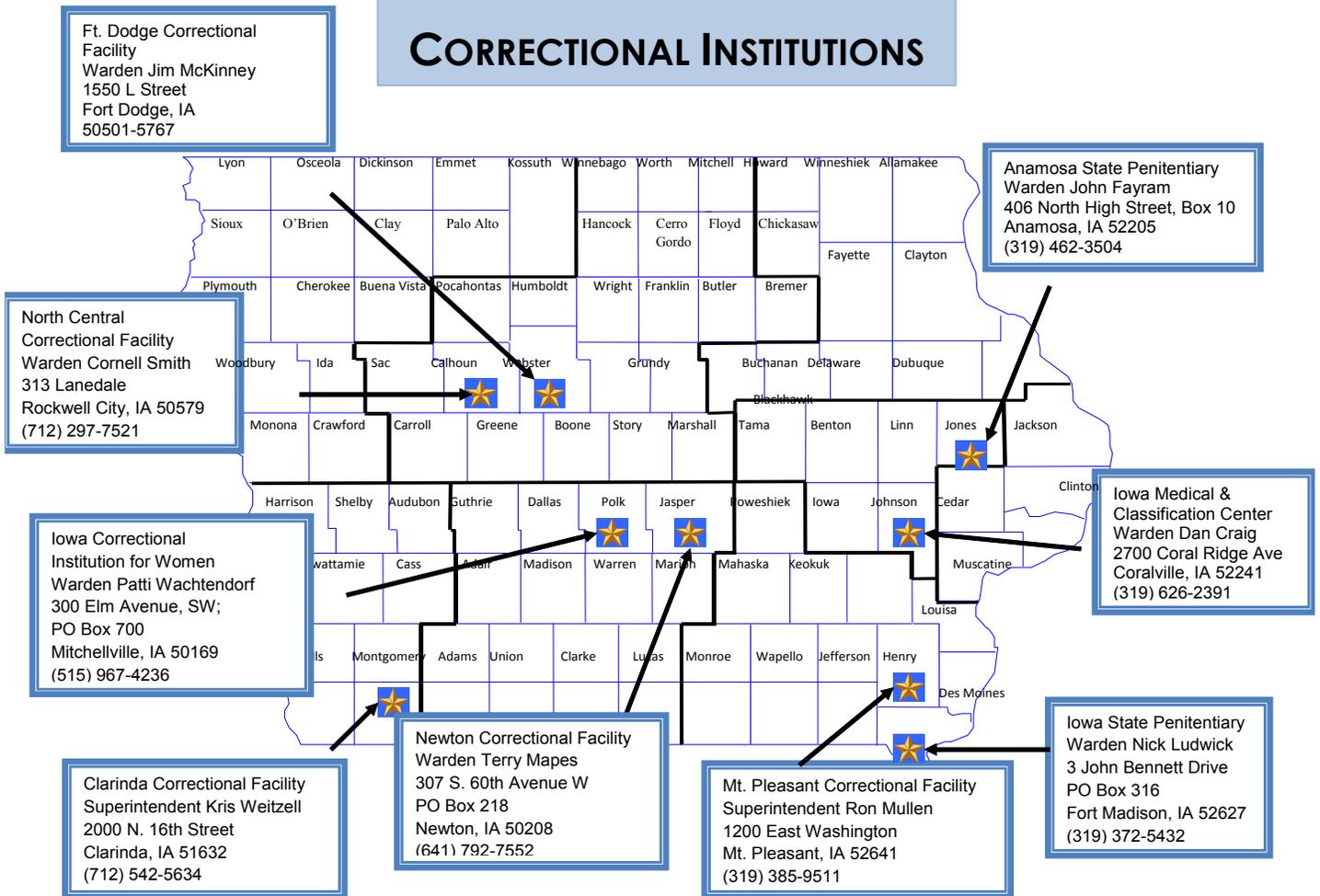


**Newton Correctional Facility**



**North Central Correctional Facility**

# CORRECTIONAL INSTITUTIONS



The prison population in FY2014 was the lowest in the past 12 years.

# Institution Population

IOWA PRISON POPULATION, ADMISSIONS & RELEASES			
	<u>FY2013</u>	<u>FY2014</u>	<u>% Change</u> <u>FY2013-2014</u>
New Court Commitment	1,995	2,060	3.3%
Probation Revocations	1,506	1,637	8.7%
Parole Returns	439	511	16.4%
Escape Returns	1	0	---
Work Release Returns	460	529	15.0%
OWI Facility Returns	87	48	-44.8%
Special Sentence Returns	64	64	0.0%
County Jail Holds	424	445	5.0%
Other Admissions	54	46	-14.8%
<b>Total Admissions</b>	<b>5,030</b>	<b>5,340</b>	<b>6.2%</b>
Release to Work Release	964	1,192	23.7%
Release to OWI Facility	161	132	-18.0%
Parole Releases	2,490	2,313	-7.1%
Release to Shock Probation	121	112	-7.4%
Escapes	1	1	---
Expiration of Sentence	1,097	1,049	-4.4%
Release to Special Sentence	138	172	24.6%
County Jail Holds	190	188	-1.1%
Other Final Discharges	16	33	106.3%
Other Releases	111	105	-5.4%
<b>Total Releases</b>	<b>5,289</b>	<b>5,297</b>	<b>0.2%</b>
<b>Ending Prison Population</b>	<b>8,074</b>	<b>8,117</b>	<b>0.5%</b>
Prison Capacity	7,209	7,428	
Population as % of Capacity	112%	109%	

# Agency Performance Plan

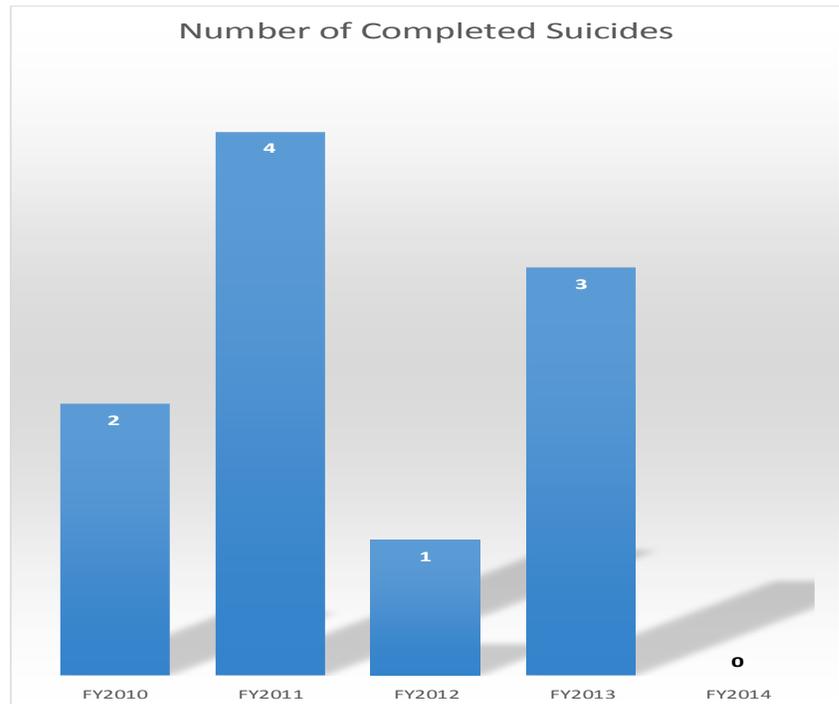
Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments and Analysis
<b>Core Function: Offender supervision, custody and treatment</b>			
Post-Custody/Post-Supervision Recidivism Rate (3 year follow-up; high risk offenders only)	30.3%	29.7%	Target met. Please note that the target of 17.7% was calculated incorrectly for last year.
Percent of higher risk offenders in evidence based treatment interventions	30.0%	27.9%	Target met. Please note that the target of 17.8% was calculated incorrectly for last year.
Number of escapes (prison only)	2	1	Target met. We are striving for zero.
Number of disturbances (prison only)	2	1	Target met. We are striving for zero.
Percent DNA collected on eligible offenders	92.81%	92.85%	Target met.
<b>Service, Product or Activity: Risk Identification</b>			
Percent required validated risk assessments completed within 90 days.	Prisons-97% Residential-68% Field-67%	Prisons-98% Residential-53% Field-64%	Target met for prisons.
Percent required custody classifications completed within 45 days.	99.1%	99.9%	Target met.
Percent of prison inmates housed in institutions that match their security level per custody classification assessments.	Max-92% Med-84% Min-68%	Max-91% Med-83% Min-68%	At or closer to targets.
<b>Service, Product or Activity: Risk Management</b>			
Number of Suicides/Attempts	22-Mar	0/31	Attempts are higher than target but is evidence of better identification of offenders in crisis, particularly considering achievement of zero suicides this year.
Number of offender deaths from natural causes and other than suicide	13	15	As the inmate population ages we are planning for an increase in end-of-life care and additional deaths.
Prison population as a % of capacity	112%	109%	Target exceeded. Increased offender reentry efforts, including more collaboration with community corrections for release planning, ins contributing to less crowded institutions.
Community Corrections Staffing: % required FTEs that are filled.	61.3%	72.1%	Target exceeded. However, more needs to be done to right-size staff and offender populations to provide best supervision.
<b>Service, Product or Activity: Risk Reduction</b>			
Percent of higher risk offenders completing case planning for their top four needs prior to discharge.	86.3%	Don't have	
Percent of higher risk offenders whose risk is significantly reduced prior to discharge.	22.4%	27.7%	Target met.
Percent of interventions designated by Offender Services as evidence based.	43.8%	44.6%	Target met.
<b>Service, Product or Activity: Basic Life Care</b>			
Number of offender serious injuries	19	36	
Number of staff serious injuries	6	22	
Staff sexual misconduct: Investigations/substantiated	32/9	26/6	Target met.
Offender on Offender abusive contact: investigations/substantiated	34/10	32/9	Target met.
Offender on Offender non-consensual acts: investigations/substantiated	26/7	32/2	Target met with regard to substantiated cases.
Staff sexual harassment: investigations/substantiated	10/2	18/1	Target met with regard to substantiated cases.

# Performance Measure Highlights

## Rate of inmate suicides

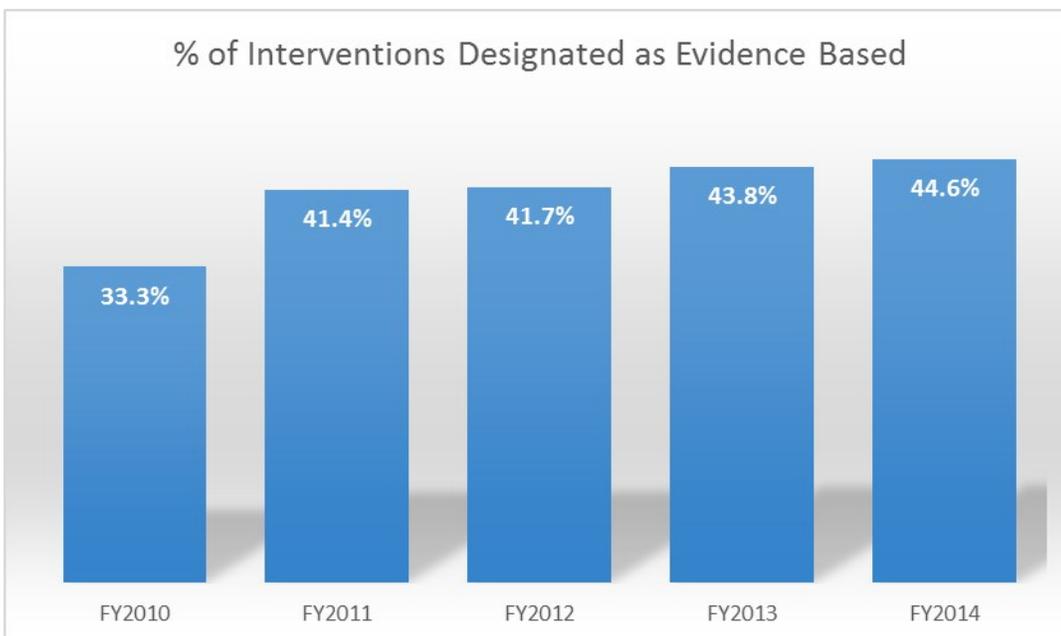
**Why this is important:** Doing all we can to prevent suicides in the prison system is the right thing to do. Preventive efforts also reflect the adequacy and comprehensiveness of the Department of Corrections' mental health, psychiatric, custodial, and administrative services.

**What we're doing about this:** Training staff to recognize situations and life events that may trigger crisis, as well as behaviors that may indicate an inmate is contemplating or actively planning suicide is key. Identification before the situation worsens leads to proper referrals and other appropriate responses to keep the offender safe.



## Percent of interventions designated as evidence-based

**Why this is important:** It's about investing in program models that reduce recidivism, and ensuring those programs are operated the way they should be to reduce offenders' risk levels and promote public safety. In this way we use taxpayer dollars responsibly and promote quality services.



**What we're doing about this:** With the assistance of Federal grant funds, we will be stepping up quality assurance efforts over the next three years.

# Fiscal

In FY 2015, the Department of Corrections (DOC) received \$378,695,471 in general fund appropriations. This is an increase of \$4,107,547 from FY 2014. The changes in appropriation include:

- ⇒ \$215,504 increase to fully fund the reception center at the Iowa Correctional Institution for Women at Mitchellville.
- ⇒ \$641,000 decrease to eliminate the FY 2014 one-time costs associated with renovating space at Fort Madison to house minimum-security offenders and opening the Community-Based Corrections (CBC) residential facility in Ottumwa.
- ⇒ \$1,417,990 million increase to replace expired federal funds for Drug Courts in the First and Sixth CBC District Departments, and to fund Drug Courts in the Second, Fifth, and Seventh CBC District Departments.

If funds allow, the FY 2016 & FY 2017 operational and capital requests include:

## **FY 2016 & FY 2017 Budget Request - New Funding**

<b>FY 2015 General Fund Base Budget</b>	<i>FTEs</i>	<i>Amount</i>
	3,951.50	\$ 378,695,471
<b>FY 2016</b>		
<u><i>New Funding Requests Above FY 2015 Base Budget</i></u>	<i>FTEs</i>	<i>Amount</i>
➤ New Prison Staffing & Treatment	50.00	\$ 3,551,920
➤ New Prison Staffing Salary Costs	-	\$ 479,053
➤ CBC Staffing	28.00	\$ 1,794,628
➤ Prison Staffing	64.00	\$ 4,476,279
➤ Education	-	\$ 500,000
➤ ICON	-	\$ 500,000
➤ Hepatitis Treatment C Drugs	-	\$ 1,260,000
➤ Forensic Psychiatric Hospital Expansion	33.00	\$ 2,125,497
➤ County Billing Realignment	-	\$ -
➤ County Billing	-	\$ 295,000
➤ Drug Courts - Expired Mental Health Grants	1.00	\$ 225,711
➤ DAS Utility (Fixed) Costs	-	\$ 107,581
<b>FY 2016 General Fund New Requests</b>	<b>176.00</b>	<b>\$ 15,315,669</b>
<b>FY 2016 General Fund Base Budget and New Requests</b>	<b>4,127.50</b>	<b>\$ 394,011,140</b>

# Fiscal

## FY 2017

<u><i>New Funding Requests Above FY 2016 Base Budget</i></u>	<u>FTEs</u>	<u>Amount</u>
➤ New Staffing Maximum Security Prison	29.00	\$ 3,268,750
➤ CBC Staffing	30.00	\$ 1,916,533
➤ Cognitive Programming	12.00	\$ 771,996
➤ Prison Staffing	-	\$ (562,500)
➤ Education	-	\$ 500,000
➤ ICON	-	\$ 500,000
➤ Hepatitis Treatment C Drugs	-	\$ 1,260,000
➤ DAS Utility (Fixed) Costs	-	\$ 100,891
<b>FY 2017 General Fund New Requests</b>	<b>71.00</b>	<b>\$ 7,755,670</b>
<b>FY 2017 General Fund Base Budget and New Requests</b>	<b>4,198.50</b>	<b>\$ 401,766,810</b>
<b>FY 2017 General Fund Base Budget and New Requests % Increase</b>		<b>1.97%</b>
<b>FY 2016 &amp; 2017 General Fund New Requests</b>	<b>247.00</b>	<b>\$ 23,071,339</b>
<b>FY 2016 &amp; 2017 General Fund Base Budget and New Requests</b>	<b>4,198.50</b>	<b>\$ 401,766,810</b>
<b>FY 2016 &amp; 2017 General Fund Base Budget and New Requests % Increase</b>		<b>6.09%</b>



# Fiscal

## FY 2016 & FY 2017 Capital Budget Request - New Funding

	Appr.	FY2016	FY2017	FY2018	FY2019	FY2020	Total
<b>Residential, One Stop Reentry &amp; Kitchen/VR</b>							
CBC 1st District - Waterloo One Stop Reentry Center		\$4,545,947	\$3,813,405	\$521,850	\$0	\$0	\$8,881,202
CBC 2nd District - Ames & Marshalltown Residential	14A	\$6,705,706	\$5,075,133	\$403,109	\$0	\$0	\$12,183,948
CBC 5th District - Des Moines Residential	92A	\$15,703,495	\$14,594,285	\$2,750,193	\$0	\$0	\$33,047,973
CBC 8th District - Burlington Residential	16A	\$5,603,736	\$4,206,162	\$313,558	\$0	\$0	\$10,123,456
CCF Kitchen / Visiting Room Expansion		\$9,424,249	\$6,282,832	\$0	\$0	\$0	\$15,707,081
<b>Total Residential, One Stop Reentry &amp; Kitchen/VR</b>		<b>\$41,983,133</b>	<b>\$33,971,817</b>	<b>\$3,988,710</b>	<b>\$0</b>	<b>\$0</b>	<b>\$79,943,660</b>
<b>Critical</b>							
ASP Locking System Study	31A	\$500,000	\$0	\$0	\$0	\$0	\$500,000
ASP Fire Escape	31A	\$2,000,000	\$0	\$0	\$0	\$0	\$2,000,000
ASP Boiler Replacements & Enclosure	85A	\$1,050,000	\$2,450,000	\$0	\$0	\$0	\$3,500,000
ASP Luster Heights Water Well		\$505,000	\$0	\$0	\$0	\$0	\$505,000
ASP Water Treatment System		\$1,000,000	\$0	\$0	\$0	\$0	\$1,000,000
ASP Relocate Education Department to Old Dietary Building		\$98,000	\$0	\$0	\$0	\$0	\$98,000
Deferred Maintenance CBC's	65A	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$5,000,000
Deferred Maintenance Institutions	65A	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$10,000,000
<b>Total Critical</b>		<b>\$8,153,000</b>	<b>\$5,450,000</b>	<b>\$3,000,000</b>	<b>\$3,000,000</b>	<b>\$3,000,000</b>	<b>\$22,603,000</b>
<b>Technology Reinvestment Fund</b>							
CBC 1st District Fiber, Camera & Videoconferencing Upgrades		\$309,254	\$0	\$0	\$0	\$0	\$309,254
CBC 2nd District Fiber Upgrades		\$116,000	\$0	\$0	\$0	\$0	\$116,000
CBC 5th District Fiber and Camera Systems Upgrades		\$112,920	\$0	\$0	\$0	\$0	\$112,920
ASP Computer Switches Upgrade		\$225,000	\$0	\$0	\$0	\$0	\$225,000
NCF Telephone System Upgrade		\$525,000	\$0	\$0	\$0	\$0	\$525,000
NCCF Telephone, Camera and Fire Alarm Systems Upgrade		\$372,000	\$0	\$0	\$0	\$0	\$372,000
CCF CTC Telephone System Upgrade		\$0	\$375,000	\$0	\$0	\$0	\$375,000
FDCF Camera, Fire, Building Automation, TV/Fiber Systems Upgrades		\$622,254	\$0	\$0	\$0	\$0	\$622,254
<b>Total Technology Reinvestment Fund</b>		<b>\$2,282,428</b>	<b>\$375,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,657,428</b>
<b>Grand Total by Fiscal Year</b>		<b>\$52,418,561</b>	<b>\$39,796,817</b>	<b>\$6,988,710</b>	<b>\$3,000,000</b>	<b>\$3,000,000</b>	<b>\$105,204,088</b>
<b>Grand Total FY 2016 &amp; FY 2017</b>		<b>\$92,215,378</b>					

24 FY14 Financial Status Reports—Community Based Corrections

		Department Revised Budget	Actual Revenues and Expenditures	Percent (Actual of Budget)
	<b>FTE Positions</b>			
	Residential Officer	300.09	298.28	99.40%
	Total Staffing	1,143.87	1,144.22	100.03%
	<b>Resources Available</b>			
---	Balance Brought Forward	1,710,315.00	1,750,683.00	102.36%
05A	Appropriation	87,401,043.00	87,401,043.00	100.00%
05K	DAS Distribution	-	-	---
---	Appropriation Transfer	-	-	---
---	De-appropriation	-	-	---
201R	Federal Support	1,097,017.00	363,961.00	33.18%
202R	Local Governments	596,905.00	648,031.00	108.57%
204R	Intra State Receipts	606,544.00	606,544.00	100.00%
205R	Reimbursement from Other Agencies	267,275.00	762,173.00	285.16%
234R	Transfers - Other Agencies	-	-	---
301R	Interest	24,700.00	24,937.00	100.96%
401R	Fees, Licenses & Permits	4,769,608.00	5,474,013.00	114.77%
402R	Tuition & Fees	3,374,957.00	3,661,924.00	108.50%
501R	Refunds & Reimbursements	9,485,467.00	9,654,996.00	101.79%
602R	Sale of Equipment & Salvage	-	-	---
603R	Rents & Leases	-	-	---
604R	Agricultural Sales	-	-	---
606R	Other Sales & Services	-	-	---
704R	Other	293,869.00	234,476.00	79.79%
	<b>Total Resources Available</b>	<b>109,627,700.00</b>	<b>110,582,781.00</b>	<b>100.87%</b>
	<b>Funds Expended</b>			
101	Personal Services-Salaries	96,970,646.00	93,133,645.00	96.04%
202	Personal Travel (In State)	371,564.00	340,545.00	91.65%
203	State Vehicle Operation	360,202.00	329,722.00	91.54%
204	Depreciation	-	-	---
205	Personal Travel (Out of State)	31,351.00	23,589.00	75.24%
301	Office Supplies	324,389.00	297,884.00	91.83%
302	Facility Maintenance Supplies	73,231.00	102,102.00	139.42%
303	Equipment Maintenance Supplies	-	-	---
304	Professional & Scientific Supplies	254,905.00	239,053.00	93.78%
306	Housing & Subsistence Supplies	285,445.00	331,438.00	116.11%
307	Ag,Conservation & Horticulture Supply	-	-	---
308	Other Supplies	50,206.00	60,179.00	119.86%
309	Printing & Binding	-	-	---
310	Drugs & Biologicals	-	-	---
311	Food	2,129,099.00	2,122,394.00	99.69%
312	Uniforms & Related Items	1,000.00	3,322.00	332.20%
313	Postage	-	-	---
401	Communications	617,409.00	638,166.00	103.36%
402	Rentals	787,559.00	769,361.00	97.69%
403	Utilities	1,288,641.00	1,260,826.00	97.84%
405	Professional & Scientific Services	3,068,810.00	2,569,407.00	83.73%
406	Outside Services	378,472.00	823,266.00	217.52%
407	Intra-State Transfers	-	-	---
408	Advertising & Publicity	17,500.00	7,681.00	43.89%
409	Outside Repairs/Service	428,091.00	726,758.00	169.77%
412	Auditor of State Reimbursements	1,500.00	25,969.00	1731.27%
414	Reimbursement to Other Agencies	255,175.00	311,625.00	122.12%
416	ITS Reimbursements	311,370.00	244,004.00	78.36%
417	Worker's Compensation	354,282.00	330,807.00	93.37%
418	IT Outside Services	-	-	---
434	Transfers - Other Agencies Services	-	-	---
501	Equipment	236,704.00	264,274.00	111.65%
502	Office Equipment	57,500.00	19,090.00	33.20%
503	Equipment - Non-Inventory	130,226.00	324,433.00	249.13%
510	IT Equipment	495,663.00	619,924.00	125.07%
601	Claims	-	-	---
602	Other Expense & Obligations	331,128.00	362,597.00	109.50%
609	Bonds, Credit Union, Deferred Comp	-	137,009.00	---
705	Refunds-Other	-	-	---
901	Capitals	15,632.00	377,901.00	2417.48%
---	Balance Carry Forward	-	2,824,769.00	---
---	Reversion	-	961,041.00	---
	<b>Total Expenses</b>	<b>109,627,700.00</b>	<b>110,582,781.00</b>	<b>100.87%</b>
	<b>Ending Balance</b>			

		Department Revised Budget	Actual Revenues and Expenditures	Percent (Actual of Budget)
	<b>FTE Positions</b>			
	Correctional Officer	1,508.00	1,417.16	93.98%
	Total Staffing	2,742.83	2,576.01	93.92%
	<b>Resources Available</b>			
	--- Balance Brought Forward	171,782.00	171,782.00	100.00%
	05A Appropriation	272,898,525.00	272,898,525.00	100.00%
	05K DAS Distribution	205,692.00	205,692.00	100.00%
	--- Appropriation Transfer	(251,392.00)	(251,392.00)	100.00%
	--- De-appropriation	-	-	---
	201R Federal Support	-	-	---
	202R Local Governments	125,000.00	132,700.00	106.16%
	204R Intra State Receipts	1,965,971.00	1,964,765.00	99.94%
	205R Reimbursement from Other Agencies	36,320.00	17,978.00	49.50%
	234R Transfers - Other Agencies	1,011.00	54,051.00	5346.29%
	301R Interest	-	-	---
	401R Fees, Licenses & Permits	342,600.00	420,045.00	122.61%
	501R Refunds & Reimbursements	721,200.00	733,733.00	101.74%
	602R Sale of Equipment & Salvage	5.00	-	0.00%
	603R Rents & Leases	1,527,456.00	1,331,278.00	87.16%
	604R Agricultural Sales	-	-	---
	606R Other Sales & Services	-	-	---
	701R Unearned Receipts	-	-	---
	<b>Total Resources Available</b>	<b>277,744,170.00</b>	<b>277,679,157.00</b>	<b>99.98%</b>
	<b>Funds Expended</b>			
	101 Personal Services-Salaries	226,568,208.00	220,418,747.00	97.29%
	202 Personal Travel (In State)	208,756.00	283,077.00	135.60%
	203 State Vehicle Operation	755,887.00	889,338.00	117.65%
	204 Depreciation	71,666.00	1,024,304.00	1429.27%
	205 Personal Travel (Out of State)	12,712.00	40,003.00	314.69%
	301 Office Supplies	275,423.00	329,413.00	119.60%
	302 Facility Maintenance Supplies	1,375,041.00	1,489,018.00	108.29%
	303 Equipment Maintenance Supplies	751,372.00	789,646.00	105.09%
	304 Professional & Scientific Supplies	925,134.00	1,069,557.00	115.61%
	306 Housing & Subsistence Supplies	2,282,347.00	2,521,605.00	110.48%
	307 Ag,Conservation & Horticulture Supply	41,405.00	55,759.00	134.67%
	308 Other Supplies	464,961.00	1,012,934.00	217.85%
	309 Printing & Binding	-	-	---
	310 Drugs & Biologicals	5,945,538.00	5,719,626.00	96.20%
	311 Food	10,496,644.00	11,064,407.00	105.41%
	312 Uniforms & Related Items	1,457,785.00	1,894,170.00	129.93%
	313 Postage	72,700.00	48,129.00	66.20%
	401 Communications	468,600.00	645,491.00	137.75%
	402 Rentals	122,708.00	105,228.00	85.75%
	403 Utilities	9,629,326.00	10,021,606.00	104.07%
	405 Professional & Scientific Services	2,067,690.00	2,194,543.00	106.14%
	406 Outside Services	1,427,393.00	1,464,511.00	102.60%
	407 Intra-State Transfers	200,155.00	-	0.00%
	408 Advertising & Publicity	405.00	1,819.00	449.14%
	409 Outside Repairs/Service	1,140,672.00	1,232,359.00	108.04%
	412 Auditor of State Reimbursements	100.00	-	0.00%
	414 Reimbursement to Other Agencies	3,993,712.00	4,485,941.00	112.33%
	416 ITS Reimbursements	715,192.00	817,612.00	114.32%
	417 Worker's Compensation	551,982.00	-	0.00%
	418 IT Outside Services	105.00	686.00	653.33%
	434 Transfers - Other Agencies Services	214,865.00	364,300.00	169.55%
	501 Equipment	458,122.00	699,668.00	152.73%
	502 Office Equipment	14,850.00	23,000.00	154.88%
	503 Equipment - Non-Inventory	207,169.00	842,866.00	406.85%
	510 IT Equipment	900,387.00	1,823,543.00	202.53%
	601 Claims	1,050.00	342.00	32.57%
	602 Other Expense & Obligations	3,415,256.00	3,384,368.00	99.10%
	701 Licenses	8,735.00	12,143.00	139.02%
	705 Refunds-Other	17.00	17.00	100.00%
	901 Capitals	500,100.00	-	0.00%
	--- Balance Carry Forward	-	655,226.00	---
	--- Reversion	-	254,155.00	---
	<b>Total Expenses</b>	<b>277,744,170.00</b>	<b>277,679,157.00</b>	<b>99.98%</b>
	<b>Ending Balance</b>		-	

# Average Cost Figures FY2014

<b>Prisons</b>	\$93.22	per day cost
Length of Stay = 19.5 Months		
<b>Community Based Corrections</b>		
Pretrial Interviews	\$53.04	per interview
Presentence Investigations	\$388.44	per investigation
Pretrial Release with Supervision	\$2.95	per day cost
Low Risk Probation Supervision	\$0.52	per day cost
Probation/Parole Supervision	\$4.68	per day cost
Drug Court	\$16.78	per day cost
Sex Offender	\$12.80	per day cost
Intensive Supervision Program	\$8.73	per day cost
IDAP	\$2.54	per day cost
Residential (includes Work Release, OWI, Probationers, etc.)	\$79.65	per day cost
<b>Electronic Monitoring Bracelets:</b>		
Radio Frequency—Landline	\$1.55	per day cost
Radio Frequency—Cellular	\$2.25	per day cost
Vicap	\$3.10	per day cost
GPS	\$3.15	per day cost



# ICON



## Data Sharing Initiatives Federal, State and Local Agencies

Courts (CJIS)  
 County Attorneys (CJIS)  
 DPS Sex Offender Registry (CJIS)  
 DPS Rapsheet Records (CJIS)  
 DPS LiveScan Fingerprints  
 Law Enforcement Officer Photo (CJIS)  
 NLETS National Law Enforcement (CJIS)

VINE Victim Notification  
 DHS Child Care Assistance  
 DHS Child Support Recovery  
 DHS Family Investment  
 DHS Iowa Food Assistance  
 DHS Medicaid Fraud  
 Board of Parole

National Corrections Reporting Program  
 Internal Revenue Service  
 Social Security Administration  
 N-DEX FBI National Data Exchange  
 Immigration Services  
 Data Warehouse  
 Statewide Crime Code Table (CJIS)

# ICON

The Iowa Corrections Offender Network (ICON) database began in 1999 and continues to expand. In FY14 there were a number of enhancements and new modules added to the system and the following are some highlights:

- The Board of Parole joined ICON in 2013 and subsequently the BOP Revocation Process was developed and rolled to the test environment in FY14. The BOP Revocation Process is now fully operational and all orders and information are generated and stored in ICON.
- In March there was the addition of a mobile application for ICON. Staff in the field are able to login to the ICON mobile app and have valuable information at any location.
- Numerous assessments were added this year and many changes to the re-entry case plans for both the institution and community based corrections were implemented.
- A kiosk environment was created for CBC's and offenders are able to check-in, message their case manager, fill-out their monthly report forms in the field and furlough forms in residential.
- The institutions had several changes to ICON banking. Staff now have an automated banking release process and offenders are able to purchase omail and phone credits via the kiosk.

ICON continues to evolve and develop to meet the needs of staff and offenders while making the daily operations of corrections more productive and run more efficiently.

## Outside Federal, State and Local Agencies with System Access

### Users

5,800+ Total  
4,500+ DOC  
1,300+ Outside Agency (14 Federal, State, and Local)

**Governor's Office**  
**Public Safety**  
**Human Services (Child Support Recovery Unit)**  
**Attorney General**  
**Board of Parole**  
**Local Sheriff and Police Departments**  
**Immigration and Customs Enforcement**  
**Federal Probation/Parole Offices**  
**Citizen's Aide/Ombudsman**  
**Criminal and Juvenile Justice Planning**  
**Inspection and Appeals**  
**Insurance Fraud Bureau**  
**Vocational Rehabilitation Services**  
**Workforce Development**

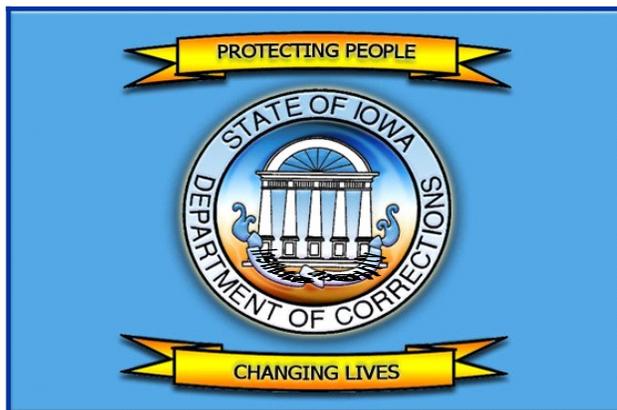
<p><b>Anamosa State Penitentiary</b>                  406 North High Street                  Anamosa, Iowa 52205                  (319) 462-3504</p>	<p><b>Clarinda Correctional Facility</b>                  2000 North 16th Street                  Clarinda, Iowa 51632                  (712) 542-5634</p>	<p><b>Iowa State Penitentiary</b>                  3 John Bennett Drive                  Fort Madison, Iowa 52627                  (319) 372-5432</p>
<p><b>Fort Dodge Correctional Facility</b>                  1550 L Street                  Fort Dodge, Iowa 50501                  (515) 574-4700</p>	<p><b>Iowa Correctional Institution for Women</b>                  420 Mill Street                  Mitchellville, Iowa 50169                  (515) 725-5006</p>	<p><b>Mount Pleasant Correctional Facility</b>                  1200 East Washington Street                  Mount Pleasant, Iowa 52641                  (319) 385-9511</p>
<p><b>Newton Correctional Facility</b>                  307 South 60th Avenue, W                  Newton, Iowa 50208                  (641) 792-7552</p>	<p><b>Iowa Medical and Classification Center</b>                  2700 Coral Ridge Avenue                  Coralville, Iowa 52241                  (319) 626-2391</p>	<p><b>North Central Correctional Facility</b>                  313 Lanedale                  Rockwell City, Iowa 50579-7464                  (712) 297-7521</p>

**Annual Report prepared by:**

**Terri Meier 515-725-5783**

# STATE OF IOWA

**Mission:**  
**To Advance Successful Offender  
 Re-Entry to Protect the Public,  
 Staff and Offenders from  
 Victimization**



<p><b>First Judicial District</b>                  314 East 6th Street                  Waterloo, Iowa 50704-4030                  (319) 236-9626</p>	<p><b>Third Judicial District</b>                  515 Water Street                  Sioux City, Iowa 51103                  (712) 252-0590</p>	<p><b>Fifth Judicial District</b>                  1000 Washington Avenue                  Des Moines, Iowa 50314                  (515)242-6611</p>	<p><b>Seventh Judicial District</b>                  605 Main Street                  Davenport, Iowa 52803-5244                  (563) 322-7986</p>
<p><b>Second Judicial District</b>                  510 Fifth Street                  Ames, IA 50010-0623                  (515) 232-1511</p>	<p><b>Fourth Judicial District</b>                  801 South 10th Street                  Council Bluffs, IA 51501                  (712) 325-4943</p>	<p><b>Sixth Judicial District</b>                  951 29th Avenue SW                  Cedar Rapids, Iowa 52404                  (319) 398-3675</p>	<p><b>Eighth Judicial District</b>                  1805 West Jefferson                  Fairfield, Iowa 52556                  (641) 472-4242</p>